

17
7-24-02
mel
DOCKET NO. 35244

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Kevin FOLEY et al.
Serial No. : 09/412,408 Group Art Unit: 3621
Filed : October 5, 1999 Examiner: HEWITT, C. L. II
Title : ELECTRONIC TRADING SYSTEM SUPPORTING ANONYMOUS
NEGOTIATING AND INDICATORS OF INTEREST

BY HAND DELIVERY
Commissioner of Patents
Washington, D.C. 20231

RECEIVED
TECHNOLOGY CENTER 3600
02 JUN 27 PM 2:25

THIRD
INFORMATION DISCLOSURE STATEMENT

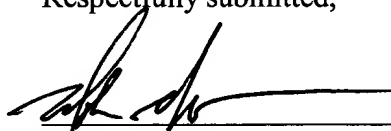
Enclosed are an Information Disclosure Citation List and a copy of the document listed therein. Also enclosed is a check in the amount \$180.00 (37 C.F.R. § 1.17(p)).

The substance of the cited reference was referred to during the interview by the Examiner herein with attorneys for applicant on 20 June 2002.

The Examiner is requested to consider the enclosed document, make it of record, and indicate his consideration of the document by initialing the enclosed Citation List adjacent the citation of the document.

Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

Respectfully submitted,



Dated: 26 June 2002

Matthew J. Marquardt (Reg. No. 40,997)
Attorney for Applicants
BROWN RAYSMAN MILLSTEIN FELDER &
STEINER LLP
900 Third Avenue
New York, New York 10022
(212) 895-2906

126.180.00 pd
7-01-02